FIRST REGULAR SESSION

[TRULY AGREED TO AND FINALLY PASSED]

HOUSE COMMITTEE SUBSTITUTE FOR

SENATE SUBSTITUTE FOR

SENATE BILL NO. 118

96TH GENERAL ASSEMBLY

2011

0839L.03T

AN ACT

To repeal sections 198.006 and 198.074, RSMo, and to enact in lieu thereof two new sections relating to sprinkler system requirements in long-term care facilities.

Be it enacted by the General Assembly of the State of Missouri, as follows:

Section A. Sections 198.006 and 198.074, RSMo, are repealed and two

- 2 new sections enacted in lieu thereof, to be known as sections 198.006 and
- 3 198.074, to read as follows:

198.006. As used in sections 198.003 to 198.186, unless the context

- 2 clearly indicates otherwise, the following terms mean:
- 3 (1) "Abuse", the infliction of physical, sexual, or emotional injury or
- 4 harm;
- 5 (2) "Activities of daily living" or "ADL", one or more of the following
- 6 activities of daily living:
- 7 (a) Eating:
- 8 (b) Dressing;
- 9 (c) Bathing;
- 10 (d) Toileting;
- 11 (e) Transferring; and
- 12 (f) Walking;
- 13 (3) "Administrator", the person who is in general administrative charge
- 14 of a facility;
- 15 (4) "Affiliate":
- 16 (a) With respect to a partnership, each partner thereof;

EXPLANATION—Matter enclosed in bold-faced brackets [thus] in this bill is not enacted and is intended to be omitted in the law.

2627

28

29

30

31

32

33

34

40

41 42

48

4950

51

- 17 (b) With respect to a limited partnership, the general partner and each
 18 limited partner with an interest of five percent or more in the limited
 19 partnership;
- 20 (c) With respect to a corporation, each person who owns, holds or has 21 the power to vote five percent or more of any class of securities issued by the 22 corporation, and each officer and director;
- 23 (d) With respect to a natural person, any parent, child, sibling, or 24 spouse of that person;
 - (5) "Appropriately trained and qualified individual", an individual who is licensed or registered with the state of Missouri in a health care-related field or an individual with a degree in a health care-related field or an individual with a degree in a health care, social services, or human services field or an individual licensed under chapter 344 and who has received facility orientation training [under 19 CSR 30-86042(18)] required by this chapter, and dementia training under section 660.050 and twenty-four hours of additional training, approved by the department, consisting of definition and assessment of activities of daily living, assessment of cognitive ability, service planning, and interview skills;
- 35 (6) "Assisted living facility", any premises, other than a residential care 36 facility, intermediate care facility, or skilled nursing facility, that is utilized 37 by its owner, operator, or manager to provide twenty-four-hour care and 38 services and protective oversight to three or more residents who are provided 39 with shelter, board, and who may need and are provided with the following:
 - (a) Assistance with any activities of daily living and any instrumental activities of daily living;
 - (b) Storage, distribution, or administration of medications; and
- 43 (c) Supervision of health care under the direction of a licensed 44 physician, provided that such services are consistent with a social model of 45 care; Such term shall not include a facility where all of the residents are 46 related within the fourth degree of consanguinity or affinity to the owner, 47 operator, or manager of the facility;
 - (7) "Community-based assessment", documented basic information and analysis provided by appropriately trained and qualified individuals describing an individual's abilities and needs in activities of daily living, instrumental activities of daily living, vision/hearing, nutrition, social participation and support, and cognitive functioning using an assessment tool

53 approved by the department of health and senior services that is designed for 54 community-based services and that is not the nursing home minimum data set;

- 55 (8) "Dementia", a general term for the loss of thinking, remembering, 56 and reasoning so severe that it interferes with an individual's daily 57 functioning, and may cause symptoms that include changes in personality, 58 mood, and behavior;
- 59 (9) "Department", the Missouri department of health and senior 60 services;
- 61 (10) "Emergency", a situation, physical condition or one or more 62 practices, methods or operations which presents imminent danger of death or 63 serious physical or mental harm to residents of a facility;
- 64 (11) "Facility", any residential care facility, assisted living facility, 65 intermediate care facility, or skilled nursing facility;
- 66 (12) "Health care provider", any person providing health care services 67 or goods to residents and who receives funds in payment for such goods or 68 services under Medicaid;
- 69 (13) "Instrumental activities of daily living", or "IADL", one or more of 70 the following activities:
- 71 (a) Preparing meals;
- 72 (b) Shopping for personal items;
- 73 (c) Medication management;
- (d) Managing money;
- 75 (e) Using the telephone;
- (f) Housework; and
- 77 (g) Transportation ability;
- (14) "Intermediate care facility", any premises, other than a residential 78 care facility, assisted living facility, or skilled nursing facility, which is 79 80 utilized by its owner, operator, or manager to provide twenty-four-hour accommodation, board, personal care, and basic health and nursing care 81 services under the daily supervision of a licensed nurse and under the 82 direction of a licensed physician to three or more residents dependent for care 83 84 and supervision and who are not related within the fourth degree of 85 consanguinity or affinity to the owner, operator or manager of the facility;
- 86 (15) "Manager", any person other than the administrator of a facility 87 who contracts or otherwise agrees with an owner or operator to supervise the 88 general operation of a facility, providing such services as hiring and training

- 89 personnel, purchasing supplies, keeping financial records, and making reports;
- 90 (16) "Medicaid", medical assistance under section 208.151, et seq., in
- 91 compliance with Title XIX, Public Law 89-97, 1965 amendments to the Social
- 92 Security Act (42 U.S.C. 301, et seq.), as amended;
- 93 (17) "Neglect", the failure to provide, by those responsible for the care,
- 94 custody, and control of a resident in a facility, the services which are
- 95 reasonable and necessary to maintain the physical and mental health of the
- 96 resident, when such failure presents either an imminent danger to the health,
- 97 safety or welfare of the resident or a substantial probability that death or
- 98 serious physical harm would result;
- 99 (18) "Operator", any person licensed or required to be licensed under
- 100 the provisions of sections 198.003 to 198.096 in order to establish, conduct or
- 101 maintain a facility;
- 102 (19) "Owner", any person who owns an interest of five percent or more
- 103 in:
- 104 (a) The land on which any facility is located;
- 105 (b) The structure or structures in which any facility is located;
- 106 (c) Any mortgage, contract for deed, or other obligation secured in
- 107 whole or in part by the land or structure in or on which a facility is located;
- 108 or
- 109 (d) Any lease or sublease of the land or structure in or on which a
- 110 facility is located. "Owner" does not include a holder of a debenture or bond
- 111 purchased at public issue nor does it include any regulated lender unless the
- 112 entity or person directly or through a subsidiary operates a facility;
- 113 (20) "Protective oversight", an awareness twenty-four hours a day of
- 114 the location of a resident, the ability to intervene on behalf of the resident, the
- 115 supervision of nutrition, medication, or actual provisions of care, and the
- 116 responsibility for the welfare of the resident, except where the resident is on
- 117 voluntary leave;
- 118 (21) "Resident", a person who by reason of aging, illness, disease, or
- 119 physical or mental infirmity receives or requires care and services furnished
- 120 by a facility and who resides or boards in or is otherwise kept, cared for,
- 121 treated or accommodated in such facility for a period exceeding twenty-four
- 122 consecutive hours;
- 123 (22) "Residential care facility", any premises, other than an assisted
- 124 living facility, intermediate care facility, or skilled nursing facility, which is

utilized by its owner, operator or manager to provide twenty-four-hour care to three or more residents, who are not related within the fourth degree of consanguinity or affinity to the owner, operator, or manager of the facility and who need or are provided with shelter, board, and with protective oversight, which may include storage and distribution or administration of medications and care during short-term illness or recuperation, except that, for purposes of receiving supplemental welfare assistance payments under section 208.030, only any residential care facility licensed as a residential care facility II immediately prior to August 28, 2006, and that continues to meet such licensure requirements for a residential care facility II licensed immediately prior to August 28, 2006, shall continue to receive after August 28, 2006, the payment amount allocated immediately prior to August 28, 2006, for a residential care facility II under section 208.030;

- (23) "Skilled nursing facility", any premises, other than a residential care facility, an assisted living facility, or an intermediate care facility, which is utilized by its owner, operator or manager to provide for twenty-four-hour accommodation, board and skilled nursing care and treatment services to at least three residents who are not related within the fourth degree of consanguinity or affinity to the owner, operator or manager of the facility. Skilled nursing care and treatment services are those services commonly performed by or under the supervision of a registered professional nurse for individuals requiring twenty-four-hours-a-day care by licensed nursing personnel including acts of observation, care and counsel of the aged, ill, injured or infirm, the administration of medications and treatments as prescribed by a licensed physician or dentist, and other nursing functions requiring substantial specialized judgment and skill;
- (24) "Social model of care", long-term care services based on the abilities, desires, and functional needs of the individual delivered in a setting that is more home-like than institutional and promotes the dignity, individuality, privacy, independence, and autonomy of the individual. Any facility licensed as a residential care facility II prior to August 28, 2006, shall qualify as being more home-like than institutional with respect to construction and physical plant standards;
- 158 (25) "Vendor", any person selling goods or services to a health care 159 provider;
 - (26) "Voluntary leave", an off-premise leave initiated by:

20

21

22

23

24

25

26 27

29

- 161 (a) A resident that has not been declared mentally incompetent or 162 incapacitated by a court; or
- (b) A legal guardian of a resident that has been declared mentally 163 164 incompetent or incapacitated by a court.
 - 198.074. 1. Effective August 28, 2007, all new facilities licensed under this chapter on or after August 28, 2007, or any section of a facility licensed under this chapter in which a major renovation has been completed on or after August 28, 2007, as defined and approved by the department, shall install and maintain an approved sprinkler system in accordance with National Fire
 - Protection Association (NFPA) 13.
- 7 2. Facilities that were initially licensed and had an approved sprinkler system prior to August 28, 2007, shall continue to meet all laws, rules, and regulations for testing, inspection and maintenance of the sprinkler system 10 that were in effect for such facilities on August 27, 2007.
- 3. Multi-level assisted living facilities that accept or retain any 11 individual with a physical, cognitive, or other impairment that prevents the 12individual from safely evacuating the facility with minimal assistance shall 13 install and maintain an approved sprinkler system in accordance with NFPA 14 13. Single-story assisted living facilities that accept or retain any individual 16 with a physical, cognitive, or other impairment that prevents the individual 17from safely evacuating the facility with minimal assistance shall install and maintain an approved sprinkler system in accordance with NFPA 13R. 18
 - 4. All residential care and assisted living facilities with more than twenty residents not included in subsection 3 of this section, which are initially licensed under this chapter prior to August 28, 2007, and that do not have installed an approved sprinkler system in accordance with NFPA 13R or 13 prior to August 28, 2007, shall install and maintain an approved sprinkler system in accordance with NFPA 13R or 13 by December 31, [2012] 2014, unless the facility meets the safety requirements of Chapter 33 of existing residential board and care occupancies of NFPA 101 life safety code.
- 5. All skilled nursing and intermediate care facilities not required prior to August 28, 2007, to install and maintain an approved sprinkler system shall install and maintain an approved sprinkler system in accordance with NFPA 30 13 by December 31, [2012] 2014, unless the facility receives an exemption from the department and presents evidence in writing from a certified 31 sprinkler system representative or licensed engineer that the facility is unable

5152

53

54

55

56

57

58

59

60

to install an approved National Fire Protection Association 13 system due tothe unavailability of water supply requirements associated with this system.

- 6. Facilities that take a substantial step, as specified in subsections 4 35 36 and 5 of this section, to install an approved NFPA 13R or 13 system prior to December 31, 2012, may apply to the state treasurer's office for a loan in 37 38 accordance with section 198.075 to install such system. However, such loan 39 shall not be available if by December 31, 2009, the average total reimbursement for the care of persons eligible for Medicaid public assistance 40 in an assisted living facility and residential care facility is equal to or exceeds 41 fifty-two dollars per day. The average total reimbursement includes room, 42board, and care delivered by the facility, but shall not include payments to the 43 44 facility for care or services not provided by the facility. [If a facility under this subsection does not have an approved sprinkler system installed by 45December 31, 2012, such facility shall be required to install and maintain an 46 approved sprinkler system in accordance with NFPA 13 by December 31, 472013.] Such loans received under this subsection and in accordance with 48 section 198.075, shall be paid in full as follows: 49
 - (1) Ten years for those facilities approved for the loan and whose average total reimbursement rate for fiscal year 2012 for the care of persons eligible for Medicaid public assistance is equal to forty-eight and no more than forty-nine dollars per day;
 - (2) Eight years for those facilities approved for the loan and whose average total reimbursement rate for fiscal year 2012 for the care of persons eligible for Medicaid public assistance is greater than forty-nine and no more than fifty-two dollars per day; or
 - (3) Five years for those facilities approved for the loan and whose average total reimbursement rate for fiscal year 2012 for the care of persons eligible for Medicaid public assistance is greater than fifty-two dollars per day.
- 61 (4) No payments or interest shall be due until the average total 62 reimbursement rate for the care of persons eligible for Medicaid public 63 assistance is equal to or greater than forty-eight dollars.
- 7. (1) All facilities licensed under this chapter shall be equipped with a complete fire alarm system in compliance with NFPA 101, Life Safety Code for Detection, Alarm, and Communication Systems, or shall maintain a system that was approved by the department when such facility was constructed so long as such system is a complete fire alarm system. A complete fire alarm

86

8788

89

90

9192

93

system shall include, but not be limited to, interconnected smoke detectors, 69 70 automatic transmission to the fire department, dispatching agency, or central monitoring company, manual pull stations at each required exit and 7172attendant's station, heat detectors, and audible and visual alarm indicators. If a facility submits a plan of compliance for installation of a sprinkler system 73required by this chapter, such facility shall install a complete fire alarm system that complies with NFPA 72 upon installation of the sprinkler 7576system. Until such time that the sprinkler system is installed in the facility 77 which has submitted a plan of compliance, each resident room or any room designated for sleeping in the facility shall be equipped with at least one 78battery-powered smoke alarm installed, tested, and maintained in accordance 79with NFPA 72. In addition, any such facility shall be equipped with heat 80 detectors interconnected to the fire alarm system which are installed, tested, 81 and maintained in accordance with NFPA 72 in all areas subject to nuisance 82alarms, including but not limited to kitchens, laundries, bathrooms, 83 mechanical air handling rooms, and attic spaces. 84

- (2) In addition, each floor accessed by residents shall be divided into at least two smoke sections by one-hour rated smoke partitions. No smoke section shall exceed one hundred fifty feet in length. If neither the length nor the width of the floor exceeds seventy-five feet, no smoke-stop partition shall be required. Facilities with a complete fire alarm system and smoke sections meeting the requirements of this subsection prior to August 28, 2007, shall continue to meet such requirements. Facilities initially licensed on or after August 28, 2007, shall comply with such requirements beginning August 28, 2007, or on the effective date of licensure.
- 94 (3) Except as otherwise provided in this subsection, the requirements 95 for complete fire alarm systems and smoke sections shall be enforceable on 96 December 31, 2008.
- 97 8. The requirements of this section shall be construed to supersede the 98 provisions of section 198.058 relating to the exemption of facilities from 99 construction standards.
- 9. Fire safety inspections of skilled nursing and intermediate care facilities licensed under this chapter for compliance with this section shall be conducted annually by the department. All department inspectors who inspect facilities for compliance under this section shall complete a fire inspector course, as developed by the division of fire safety within the department of

111112

public safety, by December 31, 2012. Fire safety inspections of residential care and assisted living facilities licensed under this chapter for compliance with this section shall be conducted annually by the state fire marshal. The provisions of this section shall be enforced by the department or the state fire marshal, depending on which entity conducted the inspection.

10. By July 1, 2008, all facilities licensed under this chapter shall submit a plan for compliance with the provisions of this section to the state fire marshal.

Unofficial

Bill

Copy